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Substitute for form 1449A/PTO

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Sheet

1

of 2

Application Number

09/708,883

**Filing Date**

November 7, 2000

**First Named Inventor**

**L. Carlton Brown, Jr.**

**Group Art Unit**

2161

**Examiner Name**

### To Be Assigned

Attorney Docket Number

06969-022320US

[illegible][illegible]

**Examiner  
Signature**

Cosimano, E.

Date Considered

7-12-04

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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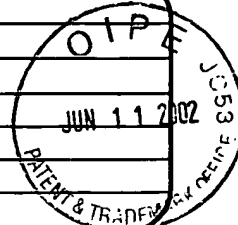
# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number 09/708,883  
Filing Date November 7, 2000  
First Named Inventor Brown, L. Carlton  
Group Art Unit 2161  
Examiner Name Unassigned  
Attorney Docket Number 006969-022320US



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## U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
ERC	AA	5,963,928		Lee	10-05-1999	
	AB	5,920,850		Hunter et al.	07-06-1999	
	AC	5,822,738		Shah et al.	10-13-1998	
	AD	5,793,867		Cordery et al.	08-11-1998	
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	AJ	5,638,442		Gargiulo et al.	06-10-1997	
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	AQ	5,142,577		Pastor	08-25-1992	
	AR	4,949,381		Pastor	08-14-1990	
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	AT	4,853,865		Sansone et al.	08-01-1989	
	AU	4,831,555		Sansone et al.	05-16-1989	
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	AX	4,775,246		Edelmann et al.	10-04-1988	
	AY	4,757,537		Edelmann et al.	07-12-1988	
	AZ	4,743,747		Fougere et al.	05-10-1988	
	BA	4,725,718		Sansone et al.	02-16-1988	
	BB	4,657,697		Chiang	04-14-1987	
ERC	BC	4,447,890		Duwel et al.	05-08-1984	

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Cosimano, E.

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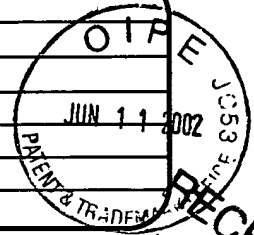
## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet **2** of **3**

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Application Number	09/708,883
Filing Date	November 7, 2000
First Named Inventor	Brown, L. Carlton
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	006969-022320US



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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
ERC	BD	EP	845 762	A2		06-03-1998		
ERC	BE	EP	825 565	A2		02-25-1998		
ERC	BF	WO	00/49580	A1		08-24-2000		
ERC	BG	WO	98/20461	A2		05-14-1998		
ERC	BH	WO	98/13790	A1		04-02-1998		
ERC	BI	GB	1 536 403			12-20-1978		

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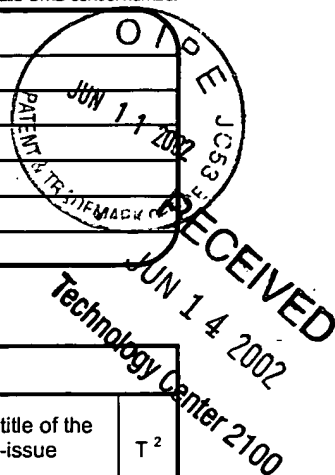
# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet **3** of **3**

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First Named Inventor	Brown, L. Carlton
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	006969-022320US



## **OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
ERC	BJ	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems (PCIBI-C)" 12 January 1999 (1999-01-12), United States Postal Service, dated January 12, 1999.	
ERC	BK	"Information Based Indicia Program (IBIP) Indiciium Specification," United States Postal Service, dated June 13, 1996.	
ERC	BL	"Information Based Indicia Program Postal Security Device Specification, United States Postal Service, dated June 13, 1996	
ERC	BM	"Information Based Indicia Program Host System Specification [Draft]," United States Postal Service, dated October 9, 1996	
ERC	BN	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for IBI Postage Metering Systems (PCIBISAIBIPMS)," United States Postal Service, dated August 19, 1998	
ERC	BO	BARKER-BENFIELD, "First Union Offers Online Transactions," <u>Florida Times-Union</u> , 1/28/94.	
ERC	BP	FIBS PUB 140-1, Federal Information Processing Standards Publication, (January 11, 1994) Security Requirements for Cryptographic Modules, U.S. Department of Commerce, Ronald H. Brown, Secretary, National Institute of Standards and Technology; pp:1-51.	

Examiner Signature

*Cosimano, E.*

Date Considered

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